

Compliance Confidence

Trust in your program and your strategic partner

What shakes your compliance confidence?

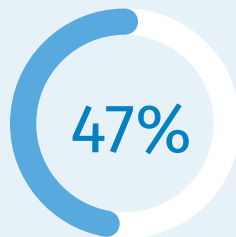


58% of financial institutions continue to be very concerned about maintaining compliance and changing regulations.

Source: 2019 Risk Indicator Survey, Wolters Kluwer
www.WoltersKluwerFS.com/Indicator

Top obstacles to implementing an effective compliance program

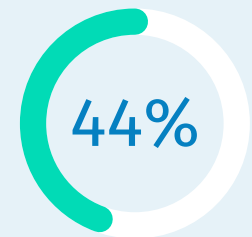
Source: 2019 Risk Indicator Survey, Wolters Kluwer
www.WoltersKluwerFS.com/Indicator



Manual processes



Inadequate staffing



Too many competing business priorities

Still have manual processes in place?

You can be confident in a solution that has 15,000+ customers globally and reinvests 8-10% of earnings back into product development annually.



Automate compliance workflows with Wolters Kluwer Regtech solutions

Do you have gaps in expertise?

You can be confident in a service that has 400+ in-house compliance and risk experts with diverse and relevant experience as former regulators, lawyers, compliance analysts and specialists.



Expand your compliance team with Wolters Kluwer consultants

Compliance confidence looks good on you



50%

50% of financial institutions indicated that their institution is moving to integrate how departments and technologies work together for compliance.

Source: 2019 Risk Indicator Survey, Wolters Kluwer
www.WoltersKluwerFS.com/Indicator

Get started with a strategic partner that offers a multi-directional approach



Consulting services



Technology solutions



Warranted content

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	Application	Processing	Underwriting	Closing	Post close	Servicing
Consulting Services	✓	✓	✓	✓	✓	✓
Technology	✓	✓	✓	✓	✓	✓



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